

STATEMENT UNDER 37 CFR 3.73 (b)

Applicant/Patent Owner: Fernandez, ErnestR
Application No./Patent No.: 10/529,991 Filed/Issue Date: March 31, 2005
Entitled: Prescription adapter ring and eyewear incorporating same
Pan-Optx, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:
A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The
assignment was recorded in the United States Patent and Trademark Office at Reel
017013, Frame 0283, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the
current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
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Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original
owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR
3.11.

[NOTE: A separate copy (i.e. a true copy of the original assignment document(s)) must be
submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the
records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

James A. Sheridan
Signature

06/19/2009
Date

James A. Sheridan

303-295-8243

Printed or Typed Name

Telephone Number

Attorney of Record
Title

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file
(and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to
take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary
depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing
this burden, should be sent to the Chief Information Officer, U.S. Patent & Trademark Office, U.S. Department of Commerce, P.O. Box 1450,
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P.O. Box 1450, Alexandria, VA 22313-1450.

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